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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PARKHURST & WENDEL, L.L.P.
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Attorney Docket No.: SUGI:093
Date: September 23, 2002

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Prior Application:
Examiner: Bret P. Chen
Art Unit: 1762

Sir:

This is a

- ☐ Continued Prosecution Application (CPA) under 37 CFR \$1.53(d);
- ☒ Request for Continued Examination (RCE) under 37 CFR \$1.114 (prosecution of the application is closed in the sense of 37 CFR \$1.114(b));
- ☐ Divisional Continuation Application (parent not abandoned) under 37 CFR \$1.53(b);
- ☐ Divisional Application (parent being abandoned) under 37 CFR \$1.53(d);

of pending prior application Serial No. 09/646,988, filed November 16, 2000
for [Title as filed]: A METHOD FOR FORMING A FILM

[Amended Title]: same

by [Inventors]: Tomo UENO

1. ☐ A copy of the prior application is attached. This copy comprises a true copy of the prior application as filed (specification, claims, drawings, declaration). No amendments referred to in the declaration (if any) filed to complete the prior application introduced new matter therein.
2. ☐ Abandon said prior application as of the filing date accorded this application.

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3. [X] The filing fee is calculated below:

CLAIMS REMAINING IN AN APPLICATION AFTER ENTRY
OF ANY PRELIMINARY AMENDMENT OR AMENDMENT

UNDER 37 CFR §1.116 NOTED BELOW		Basic Fee
Total claims	11 - 20 = 0 x \$18.00 (* 9.00) =	\$740.00
Independent claims	1 - 3 = 0 x \$84.00 (*42.00) =	-
Total Filing Fee.....		\$740.00

4. [X] A check in the amount of \$740.00 is enclosed (Ck# 15818).
- THE COMMISSIONER IS HEREBY AUTHORIZED TO CHARGE ANY OTHER FEES WHICH MAY BE REQUIRED OR CREDIT ANY OVERPAYMENT TO DEPOSIT ACCOUNT NO. 160331.
5. [] Cancel in this application claims of the prior application before calculating the filing fee. At least one independent claim is retained for filing purposes.
6. [] Amend the specification by inserting before the first line the sentence:
--This is a [] Continuation [] Division of application Serial No. 09/646,988 filed November 16, 2000.--
7. [] Please enter in the present application the Amendment Under 37 CFR §1.116 with any attachments filed on in said prior application which was not entered therein.
8. [X] Priority of foreign application(s) No. 10-122,661 filed March 27, 1998 in Japan is claimed under 35 U.S.C. §119.
- [X] The certified copy was filed in PCT/JP99/01429.
- [] A certified copy of the above corresponding foreign application is filed herewith.
9. [X] The prior application is assigned of record to TOKYO UNIVERSITY OF AGRICULTURE & TECHNOLOGY Recorded at Reel 011338, Frame 0328.

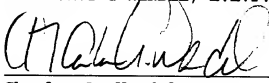
16. [X] The power of attorney in the prior application is to Roger W. Parkhurst, Registration No. 25,177 and Charles A. Wendel, Registration No. 24,453:
- [X] a. The power appears in the original papers in the prior application.
 - [] b. Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
 - [X] c. Address all future communications to

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11. [] An Application Data Sheet is enclosed.
12. [X] An Amendment is enclosed herewith.
13. [] An Information Disclosure Statement is enclosed.
14. [X] This application is not entitled to Small Entity Status.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.



Charles A. Wendel
Registration No. 24,453

(rev. 01/02)